

PATENT  
Docket No. 110.0113 0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): James B. McCarthy, et al. ) Group Art Unit: 1653  
Serial No.: 09/600,432 ) Examiner: D. Lukton  
Filed: October 2, 2000 ) Int'l Filing Date: January 21, 1999  
For: PEPTIDES WITH  $\beta$ 1 INTEGRIN SUBUNIT DEPENDENT CELL ADHESION  
MODULATING ACTIVITY

REFUND REQUEST

Assistant Commissioner for Patents  
Washington, D.C. 20231

On July 19, 2000, a fee of \$1210.00 was charged to our Deposit Account No. 13-4895  
(See copy of deposit account statement dated July 31, 2000, attached). For the following  
reasons, we believe that this fee was erroneously charged to our deposit account.

The above-referenced patent application was filed with the U.S. Patent Office on July 14, 2000, together with a check in the amount of \$1102.00 for payment of the application filing fee  
(See copy of return receipt postcard, attached). To the best of our knowledge, no additional fees  
were due at the time of filing. Furthermore, the fee code attached to the charge was 141: Fee for  
petition to revive application. As the application was just filed, a petition fee for revival of the  
application was certainly not needed or appropriate.

Applicants respectfully request that the amount of \$1210.00 be refunded. If there are any

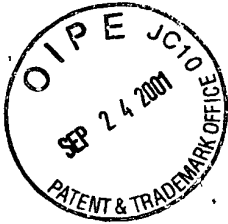
Assignment date: 10/15/2001 BY ELLEY  
07/19/2000 ERHARDT 00000088 134495 09600432  
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SEP 28 2001  
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questions concerning this request, please do not hesitate to telephone the undersigned attorney at (612) 305-1217.

**CERTIFICATE UNDER 37 C.F.R. 1.8:**

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 19 day of September, 2001.

*Ann M. Muetting*  
Ann M. Muetting

Sept. 19, 2001  
Date

AMM/mi

Respectfully submitted,  
James B. McCarthy, et al.  
By their Representatives,  
Muetting, Raasch & Gebhardt, P.A.  
P.O. Box 581415  
Minneapolis, MN 55458-1415  
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Facsimile (612)305-1228  
**Customer Number 26813**

By: *Ann M. Muetting*

Ann M. Muetting  
Reg. No. 33,977

Direct Dial (612)305-1217

\*\*\*\*\* PROSECUTION HISTORY \*\*\*\*\*

SC/SN: 09/600432 DOCK DATE: 12 / 23 / 00  
 FILD: 10 / 02 / 00  
 PATNO: 00 / 00 / 00  
 ISSDT: 00 / 00 / 00  
 APPL : MCCARTHY ET AL

PETITIONS HISTORY

ENTNO TYPE REQ DATE DEC TYPE DEC DATE

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022 CRFE T 07/23/01  
 021 FWDX E 06/29/01  
 020 ACRF I 06/19/01  
 019 XT/G I 06/19/01  
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 017 MAIL O 04/19/01  
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 015 A.PE I 10/02/00  
 014 DOCK D 12/23/00  
 013 OIPE O 12/01/00  
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 007 A371 I 10/02/00  
 006 M905 O 08/02/00  
 005 DKTD O 07/31/00  
 004 DKTD O 07/25/00  
 003 R371 O 07/14/00  
 002 C.AD O 07/25/00

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**MONTHLY STATEMENT  
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To replenish your Deposit Account, detach and  
return top portion with your check. Make check  
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Account No.	134895
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Page	1

MUETING RAASCH GEBHARDT & SCHWAPPACH P A FINA  
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PLEASE SEND REMITTANCES TO:  
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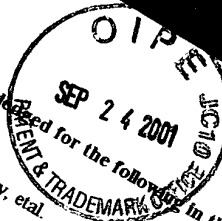
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\*\*\* 00 INDICATES OVERDRAW \*\*\*

Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:

Applicant(s): James B. McCarthy, et al.  
Serial No.: Unknown  
Filed: Concurrently Herewith  
Title: PEPTIDES WITH  $\beta$ 1 INTEGRIN SUBUNIT DEPENDENT CELL ADHESION MODULATING ACTIVITY

Enclosed: Transmittal Letter to the United States DO/EO/US Concerning a Filing Under 35 USC 371 (2 signed copies, 2 pp. ea.); Preliminary Amendment (2 pp.); Check in the amount of \$1102.00 to pay the filing fee, and transmittal document.  
Express Mail Label No. EL542401167US  
Date deposited: July 14, 2000



Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:

Applicant(s): James B. McCarthy, et al.  
Serial No.: Unknown  
Filed: Concurrently Herewith  
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09/600432

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Atty Docket No. 110.0113 0101  
AMM/mi

410 Rec'd PCT/PTO 14 JUL 2000



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James B. McCarthy, et al.

Office of Refund Art Unit: 1653

Serial No.: 09/500,432

Examiner: D. Lukton

Filed: October 2, 2000 (Int'l Filing Date: Jan 21, 1999) Docket No.: 110.01130101

Title: PEPTIDES WITH  $\beta$ 1 INTEGRIN SUBUNIT-DEPENDENT CELL ADHESION MODULATING ACTIVITY

Assistant Commissioner for Patents  
Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ A return postcard.  
☐ A Petition for Extension of Time for \_\_\_ month(s) and a check in the amount of \$\_\_\_ for the required fee.  
☐ An Information Disclosure Statement (\_\_\_ pgs); copies of \_\_\_ applications; 1449 forms (\_\_\_ pgs); and copies of \_\_\_ documents cited on the 1449 forms.  
☒ Request for Refund (2 pgs); Copy of Monthly Statement of Deposit Account; Copy of date-stamped return postcard.  
☐ Amendment \_\_\_ No Additional fee is required. \_\_\_ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$18 =	
Independent Claims				x \$80 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$270 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

**CERTIFICATE UNDER 37 C.F.R. §1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this 19 day of September, 2001.

MUETING, RAASCH & GEBHARDT, P.A.  
Customer Number: 26813

By: Ann M. Mueeting  
Name: Ann M. Mueeting  
Reg. No.: 33,977  
Direct Dial: 612-305-1217  
Facsimile: 612-305-1228

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